

Individual Tax Residency Self-Certification Form (FATCA / CRS)

Please complete all parts in BLOCK CAPITALS and in black ink. Fields marked * are mandatory.

Part 1 – Identification Individual of Account Holder

A. Legal Name of Entity / Branch: * _____

B. Date of Birth (dd/mm/yyyy): * _____

C. Town / City of Birth: * _____

D. Country of Birth: * _____

E. Current Residential Address: *

House/Apt Number, Street: _____

Town / City / Province/ State: _____

Country: _____

Postal Code / Zip Code: _____

F. Mailing Address: * (if different from address given in section B above)

House/Apt Number, Street: _____

Town / City / Province/ State: _____

Country: _____

Postal Code / Zip Code: _____

Part 2 – Country of Residence for Tax Purposes

Please complete the following table indicating:

- 1) where you are tax resident; and
- 2) your Tax Identification Number or equivalent number (TIN) for each country indicated.

If you are tax resident in more than three countries, please use a separate sheet to provide the additional information.

If a TIN is unavailable, please provide the appropriate reason A, B or C where indicated below:

- **Reason A** = The country where I am liable to pay tax does not issue TINs to its residents
- **Reason B** = I am otherwise unable to obtain a TIN or equivalent number (If you have selected this reason, please explain why you are unable to obtain a TIN in the below table)
- **Reason C** = No TIN is required (Note: Only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed)

Are you a US citizen?¹ Yes No

¹ The USA considers any US citizen to be resident in the United States for tax purposes regardless of where you physically reside. If you answered 'Yes' to the above question, you must complete the details requested in the table below.



	*Country of Tax Residence	TIN (or equivalent number)	If no TIN available, enter Reason A, B, or C
1			
2			
3			

If you have selected Reason B above, please explain in the following boxes why you are not able to obtain a TIN.

1	
2	
3	

Part 3 – Declaration and Signature

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing my accounts held with Gatehouse Bank plc (The Bank) setting out how The Bank may use and share the information supplied by me.

I acknowledge that the information contained in this form and information regarding me, the Account Holder, and any Reportable Account(s) may be provided to the tax authorities in the UK and exchanged with tax authorities of another country or countries in which I may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

I certify that I am the Account Holder of all the account(s) to which this form relates.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

I undertake to advise Gatehouse Bank within 30 days of any change in circumstances which affects my tax residency status as identified above or causes the information contained herein to become incorrect, and to provide Gatehouse Bank with a suitably updated self-certification and Declaration within 45 days of such change in circumstances.

Print name: _____

Signature: _____

Date: _____



Appendix – Definitions

Note: The following are a selection of definitions provided to assist you with the completion of this form. Further details can be found within the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (the “CRS”), the associated Commentary to the CRS, and domestic guidance. This can be found at: <http://www.oecd.org>

If you have any questions then please contact your tax advisor or domestic tax authority.

“Account Holder” The term “Account Holder” means the person listed or identified as the holder of a Financial Account. A person, other than a Financial Institution, holding a Financial Account for the benefit of another person as an agent, a custodian, a nominee, a signatory, an investment advisor, an intermediary, or as a legal guardian, is not treated as the Account Holder. For a jointly held account, each joint holder is treated as an Account Holder.

“Financial Account” A Financial Account is an account maintained by a Financial Institution and includes: Depository Accounts; Custodial Accounts; Equity and debt interest in certain Investment Entities; Cash Value Insurance Contracts; and Annuity Contracts.

“Participating Jurisdiction” A Participating Jurisdiction means a jurisdiction with which an agreement is in place pursuant to which it will provide the information required on the automatic exchange of financial account information set out in the Common Reporting Standard.

“Reportable Account” The term “Reportable Account” means an account held by one or more Reportable Persons or by a Passive NFE with one or more Controlling Persons that is a Reportable Person.

“Reportable Jurisdiction” A Reportable Jurisdiction is a jurisdiction with which an obligation to provide financial account information is in place.

“Reportable Person” A Reportable Person is defined as an individual who is tax resident in a Reportable Jurisdiction under the tax laws of that jurisdiction. Dual resident individuals may rely on the tiebreaker rules contained in tax conventions (if applicable) to solve cases of double residence for purposes of determining their residence for tax purposes.

“TIN” (including “functional equivalent”) The term “TIN” means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or entity for the purposes of administering the tax laws of such jurisdiction. Further details of acceptable TINs can be found at: <http://www.oecd.org>.

Some jurisdictions do not issue a TIN. However, these jurisdictions often utilise some other high integrity number with an equivalent level of identification (a “functional equivalent”). Examples of that type of number include, for individuals, a social security / insurance number, citizen / personal identification / service code / number, and resident registration number.